



Food and Agriculture
Organization of the
United Nations



International
Plant Protection
Convention



INTERNATIONAL YEAR OF
PLANT HEALTH
2020

PROTECTING PLANTS,
PROTECTING LIFE

PRESENTATION 3

**DRAFT SPECIFICATION FOR
PROPOSED STANDARDS**

FERRO / IPPC SECRETARIAT

**IPPC WEBINAR ON
2021 IPPC CALL FOR TOPICS**

IYPH@fao.org

www.fao.org/plant-health-2020



Introduction

Specifications

- 1st stage in the process of preparing the text of a new standard
- Specifications serve as terms of reference for the expert working group responsible for developing an ISPM, and provide guidance on the scope of the standard and on the tasks expected of the working group
- Submission form shows what a specification should include
- Specifications are commented on in the OCS and paragraph numbering is provided via the OCS





Developing a topic proposal

Identify a need or a gap

- IPPC Strategic Framework 2020-2030
- Framework for Standards and Implementation
- List of Topics for IPPC Standards
- Adopted ISPMs

Clearly identify the problem to be resolved

Consider how the proposed topic meets the Core Criteria for the Justification and Prioritization of Proposed Topics





Draft specification online submission form for proposed standards



1. Submitted by

- Name of IPPC contracting party

2. IPPC Official Contact Point

- Country / Region

3. Email of the IPPC OCP or RPPO

- According to the IPPC procedures, the submissions can be accepted only from the IPPC OCPs or RPPOs
- **Please make sure that your email address is correct,** as you will receive an automated email from the IPPC Secretariat after you have submitted your application, with instructions on how to proceed further

General information

1. Submitted by *

Regional Plant Protection Organization (RPPO) ▼

2. IPPC Official Contact Point (RPPOs) *

Asia & Pacific Plant Protection Commission ▼

3. Email of the IPPC Official Contact Point or the regional plant protection organization *

According to the IPPC procedures, the submissions can be accepted only from the IPPC Official Contact Points or the regional plant protection organizations. Hence, please make sure that your email address is correct, as you will receive an automated email from the IPPC Secretariat after you have submitted your application, with instructions on how to proceed further.

Enter your answer





Draft specification form for proposed standards or for the revision of existing standard



4. Title

- A short descriptive working title

5. Reasons

- Reason for the standard
- Reason for the revision of the standard
- Reason for the annex to the standard

6. Provide the reason

- This provides the justification as to why the standard is needed; some of this may be copied from the topics submission form

7. Scope

- This provides the boundaries or limits to what the standard should cover

8. Purpose

- Explain what issue will be addressed and/or harmonized once this standard is put in place

Legend	
Black text is standard text to all specifications that must not be changed or removed.	
[Bracketed black text] is text that must be retained but amended for the specification being drafted.	
Blue text is explanatory material that needs to be removed by the originator after drafting a section.	
[Bracketed highlighted text] is special instructions for IPPC Secretariat staff	
DRAFT SPECIFICATION FOR ISPM: [Title of ISPM] ([Topic no.])	
Specifications are the first stage in the process of preparing the text of a new standard. The content of the specification in its draft and approved format changes only very little. This template shows what a specification should include.	
Specifications are commented on in the OCS and paragraph numbering is provided via the OCS.	
Status box	
"Status box" is changed to "Publication history" after approval of the specification and the content rewritten according to established style.	
This is not an official part of the specification and it will be modified by the IPPC Secretariat after approval	
Date of this document	[2017-03-13]
Document category	Draft specification for an ISPM
Current document stage	The stage where the document is going in the standard setting process. For example: To member consultation
Major stages	All the major stages of the standard setting process. All the stages when the document was sent to SC and to groups of experts (virtual SC small groups and EDGs – including EWGs and TPs – for both virtual and face-to-face meetings). In the major stages, drafts can be: deferred (no changes are made during the meeting); commented (no revision was made, but comments were added); or revised (the text was modified). For example: 2009-11 SC added topic [number and title] 2012-11 SC commented to Technical Panel for the Glossary 2013-05 SC deferred Note that abbreviations and acronyms describing IPPC meetings and document stages may be used in the status box without definition.





Draft specification form for proposed standards or for the revision of existing standard (cont.)

9. Tasks

- **This is a list of the expert drafting group's specific needs, activities (e.g. consider, identify, describe, review, revise) and expectations**
- **The two following penultimate tasks must be included to all specifications (please copy and paste them in your response), and the third one only in case of revision to an ISPM:**
 - 1. Consider whether the ISPM could affect in a specific way (positively or negatively) the protection of biodiversity and the environment. If this is the case, the impact should be identified, addressed and clarified in the draft ISPM.*
 - 2. Consider implementation of the standard by contracting parties and identify potential operational and technical implementation issues. Provide information and possible recommendations on these issues to the SC*
- *[For revision of ISPM only] Review all references to the ISPM under revision in other ISPMs to ensure that they are still relevant and propose consequential changes if necessary.*





Draft specification form for proposed standards or for the revision of existing standard (cont.)

10. Expertise

• **Identify the nature of the expertise required and the number of experts needed to prepare the ISPM. It's either:**

A. [Five to seven] experts with wide knowledge and experience in [phytosanitary actions], including at least one person knowledgeable in [authorization programmes and their elements] and at least one person knowledgeable in [auditing compliance with authorization programmes].

B. [For topics] Technical Panel [on Diagnostic Protocols, for the Glossary, etc.] and other experts if deemed necessary.

C. Details of the technical panel membership may be found on the IPP: [Please insert a hyperlink to the relevant TP page]. Panel members are selected by the SC for a five-year term (or until the current pending work is completed, whichever comes first [for TPF and TPFQ]). The SC reviews the composition of the panel on a regular basis. The SC may renew individual memberships for additional terms.

11. References

• Further information or specific references can be added if necessary



Example of proposed content

[G]

[F]

[E]

[D]

[C]

[B]

[A]

2008-006 DRAFT SPECIFICATION FOR ISPM:	
Use of permits as import authorization (Annex to ISPM 20:2004 Guidelines for a phytosanitary import regulatory system)	
Date of this document	2012-02-18
Document category	Draft specification for an ISPM
Current document stage	To: SC May 2013 for approval
Origin	Work programme topic: Use of permits as import authorization (Annex to ISPM 20: Guidelines for a phytosanitary import regulatory system) (2008-006)
Major stages	2008-03 CPM-3 introduced topic on work programme (original title: Use of permits as import authorization (Annex to ISPM 20: Guidelines for a phytosanitary import regulatory system)) 2010-04 SC deferred review for member consultation and assigned new Steward 2011-05 SC proposed topic for deletion 2012-03 CPM-7 decided not to delete (the status was in old format as "high") 2012-11 SC proposed change to priority 3 (send to CPM-8 in 2013) 2012-12 steward revised draft
Steward history	2010-04 SC: Tekon, Timothy Tumukon (Vanuatu) 2008-11 SC: Sakala, Arundel (Zambia)
Notes	2013-01-31 uploaded into OCS for paragraph numbering 2013-01-31 sent to Editor (AF) 2013-02-18 edited (AF)
Title	Use of permits as import authorization
Reason for the standard	Phytosanitary import requirements for commodities of regulated articles are specified by some countries in import permits. The scope of application and general approach to the use of import permits varies significantly in different countries. In some cases, import permits are used mostly for consignments of plants imported for scientific or breeding purposes (including articles normally forbidden for import), but in other cases, permits are used more generally for commodities of plants or plant products for consumption or processing or for plants for planting. Depending on the system in place, the use of import permits can lead to



[G]

[F]

[E]

[D]

[C]

[B]

[A]

SPECIFICATION 64	
Use of specific import authorizations (Approved 2016, published 2016)	
Title	Use of specific import authorizations (2008-006)
Reason for the standard	A specific import authorization is an official document authorizing import or transit of regulated articles in accordance with specified phytosanitary import requirements. Specific import authorizations are used by some countries to communicate the phytosanitary import requirements for regulated articles. The scope of application of specific import authorization varies significantly among countries. Some countries use specific import authorizations mostly for consignments of plants imported for special purposes (e.g. scientific or breeding purposes, or for use as biological control agents). Other countries use specific import authorizations for plants or plant products for consumption or processing or for plants for planting. Specific guidance on the application of specific import authorizations would increase clarity, transparency and understanding of a country's phytosanitary import requirements. ISPM 20 (Guidelines for a phytosanitary import regulatory system) refers to the use of specific import authorization; however, no detailed guidance for its use is provided. It is important to have internationally agreed guidance for the use of specific import authorizations to ensure that the systems associated with them follow the principles of transparency, minimal impact and non-discrimination. The development of general import authorization is encouraged wherever similar specific import authorizations become routine. Guidance is therefore needed on how and under which circumstances specific import authorization could be developed into general import authorization.
Purpose	The annex to the standard would apply to national plant protection organizations (NPPOs) that use specific import authorizations to specify their phytosanitary import requirements. The annex to the standard should create a harmonized framework for the use of specific import authorizations by specifying situations when they may be used.
Scope	The annex to the standard should provide guidance for the use of specific import authorizations, which should be taken into account to ensure that the principles of transparency, minimal impact and non-discrimination are followed. Guidance on the circumstances under which specific import authorization could be developed into general import authorization should be included.
International Plant Protection Convention	
Page 1 of 3	



Please use the electronic forms

For assistance,
please contact

- SC member in your region
- RPPO
- IPPC Secretariat

The online submission
form and its document
format are available on
the Call for Topics:
Standards and
Implementation webpage





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