

## **IMPLEMENTATION REVIEW AND SUPPORT SYSTEM (IRSS)**

### **Survey: Guidelines on lists of regulated pests (ISPM19:2003) and Pest reporting (ISPM17:2002)**

#### **About this survey**

Contracting parties to the International Plant Protection Convention (IPPC) have the obligation to establish, update and make available a list of regulated pests as per the provisions set forth in Article VII of the IPPC.

Guidelines on how to establish, update and make available a list of regulated pests were adopted as ISPM 19:2003 (*Guidelines on lists of regulated pests*) at the fifth session of the Interim Commission on Phytosanitary Measures (ICPM-5, 2003). Guidelines on reporting on these pests were agreed as ISPM 17:2002 (*Pest reporting*) at ICPM-4 (2002). This survey addresses implementation of IPPC commitments related to pest listing and reporting and ISPMs 17 and 19.

#### **About the Implementation Review and Support System (IRSS)**

Participation in this survey supports the IRSS' work to catalogue the challenges that prevent full implementation of the requirements to establish, update and make available lists of regulated pests and pest reports. Analyses of previous responses to IRSS surveys are available on the IRSS webpage of the IPP (<http://irss.ippc.int/activities>). This webpage also includes a Help Desk with a range of resources available to support the implementation of the IPPC.

We encourage participation in this survey to support improved implementation of the IPPC and global cooperation to prevent the spread of plant pests.

**Please ensure that responses to this survey are developed in consultation with relevant officials of the NPPO and other stakeholders if relevant.**

#### **IMPORTANT:**

- This questionnaire is to be completed by the IPPC Contact point and/or designated IPP editor. It is requested that you use this PDF document for internal consultation and submit your final responses to the ONLINE questionnaire located on the right-hand side of your IPP dashboard. Simply log into your IPP account here: <https://www.ippc.int/user/login>

**THANK YOU FOR PARTICIPATING IN THIS IRSS SURVEY**

## General

<b>Q1a. Has your NPP0 established a list of regulated pests?</b>	<input type="checkbox"/> YES <input type="checkbox"/> NO (Please explain): <i>*If “No” please skip to Question 5</i>
<b>Q1b. Does the regulated pest list include a list of “regulated non-quarantine pests (RNQPs)”?</b>	<input type="checkbox"/> YES <input type="checkbox"/> NO
<b>Q1c. Your NPP0 makes its list of regulated pests and changes to the list available to which of the following (Select as many as appropriate):</b>	<input type="checkbox"/> The IPPC <input type="checkbox"/> Your RPPO <input type="checkbox"/> Trading partners upon request <input type="checkbox"/> A National website <input type="checkbox"/> Internally concerned stakeholders in your country <input type="checkbox"/> Other (please list) <input type="checkbox"/> None of the above – Please explain:
<b>Q2. Which are the five pests on your regulated pest list which are of the most concern? (Please list and for each, briefly describe why they are of major concern)</b>	1. 2. 3. 4. 5.
<b>Q3a. Which of these conditions listed causes your NPP0 to update the LIST OF REGULATED PESTS? (Please select only those that apply)</b>	<input type="checkbox"/> A change to prohibitions, restrictions, and other phytosanitary import requirements <input type="checkbox"/> A change in pest status <input type="checkbox"/> The result of a new or revised PRA <input type="checkbox"/> Change in pest taxonomy <input type="checkbox"/> Change in legislation <input type="checkbox"/> Other (Please Specify):
<b>Q3b. Under which circumstance does</b>	<input type="checkbox"/> Production or revision of a PRA

<p><b>your NPP0 update its PEST STATUS reports? (Please select only those that apply)</b></p>	<p><input type="checkbox"/> Change in geographical distribution of a pest</p> <p><input type="checkbox"/> Change in pest host range</p> <p><input type="checkbox"/> Changes in pest status as defined in ISPM 8</p> <p><input type="checkbox"/> Other – Please describe:</p>
<p><b>Q4. Does your NPP0 record:</b></p>	<p><input type="checkbox"/> The rationale for any changes to its list of regulated pests</p> <p><input type="checkbox"/> The date of any changes to its list of regulated pests</p> <p><input type="checkbox"/> None of the above – Please explain briefly:</p>
<p><b>Q5. Which of the following situations are frequently reported on by your NPP0? (Please select only those that apply)</b></p>	<p><input type="checkbox"/> A pest occurrence:</p> <p><input type="checkbox"/> A pest outbreak</p> <p><input type="checkbox"/> Spread of pests known to be of immediate or potential danger to a neighboring country</p> <p><input type="checkbox"/> Spread of pests known to be of immediate or potential danger to a trading partner</p> <p><input type="checkbox"/> Detection of quarantine pests</p> <p><input type="checkbox"/> Detection of regulated non-quarantine pests</p> <p><input type="checkbox"/> Detection of non-regulated pests (pests that are not regulated in your country but regulated elsewhere)</p> <p><input type="checkbox"/> Detection of pests not regulated in your country or anywhere else</p> <p><input type="checkbox"/> Other (please describe)</p> <p><input type="checkbox"/> none of the above</p>

<p><b>Q6. Are pest reports you receive from other NPPOs related mostly to: (Please select all that apply)</b></p>	<p><input type="checkbox"/> Pest Occurrences</p> <p><input type="checkbox"/> Pest Outbreaks</p> <p><input type="checkbox"/> Pests known to be of immediate or potential danger to your country</p> <p><input type="checkbox"/> Pests not regulated in your country (but regulated in the country that made the report)</p> <p><input type="checkbox"/> Pests that are not-regulated in your country nor the country that made the report</p> <p><input type="checkbox"/> Eradication of a pest</p> <p><input type="checkbox"/> Pest reports concerning Pest Free Areas</p> <p><input type="checkbox"/> Other (please describe):</p> <p><input type="checkbox"/> Not applicable - we don't receive reports</p>
<p><b>Q7. For pest reports you PROVIDE, which of the following information is consistently given: (Please select only those that apply)</b></p>	<p><input type="checkbox"/> Identity of the pest with scientific name (species level, below species level etc)</p> <p><input type="checkbox"/> Date of the report</p> <p><input type="checkbox"/> Host(s) or regulated article(s) concerned</p> <p><input type="checkbox"/> Status of the pest under ISPM8</p> <p><input type="checkbox"/> Geographical distribution of the pest (including a map if appropriate)</p> <p><input type="checkbox"/> The nature of the immediate or potential danger, or other reason for reporting</p> <p><input type="checkbox"/> Other – Please specify</p> <p><input type="checkbox"/> not applicable – we don't send reports</p>
<p><b>Q8a. Does your NPPO use a standard template to prepare a pest report?</b></p>	<p><input type="checkbox"/> YES</p> <p><input type="checkbox"/> NO</p> <p><input type="checkbox"/> not applicable</p>
<p><b>Q8b. If yes, please send an example of the template to <a href="mailto:IPPC-IRSS@fao.org">IPPC-IRSS@fao.org</a> with subject: Pest Report Template [Country</b></p>	

<i>Name/</i>	
<b>Q9. Which reporting systems does your NPPO use to submit pest reports? Select all that apply.</b>	<input type="checkbox"/> International Phytosanitary Portal <input type="checkbox"/> RPPO reporting system <input type="checkbox"/> other (Please describe) <input type="checkbox"/> none
<b>Q10. From the following examples of information sources, please select those that your NPPO consider valid for publishing an official pest report:</b>	<input type="checkbox"/> Official pest surveillance data <input type="checkbox"/> University publication <input type="checkbox"/> Scientific Journal <input type="checkbox"/> Report from a research institute <input type="checkbox"/> News articles (print/web) <input type="checkbox"/> Blogs <input type="checkbox"/> Reports by industry  <u><b>Other public sector data sources such as</b></u> <input type="checkbox"/> Official Plant Protection department authorization <input type="checkbox"/> Agencies responsible for forestry <input type="checkbox"/> Agencies responsible for the environment <input type="checkbox"/> Extension service departments <input type="checkbox"/> Other
<b>Q11a. On average, how many pest reports does your country receive annually?</b>	<input type="checkbox"/> enter the estimated number <input type="checkbox"/> not applicable
<b>Q11b. On average, how many pest reports does your country produce annually?</b>	<input type="checkbox"/> enter the estimated number <input type="checkbox"/> not applicable

## COMMUNICATION AND COOPERATION

<p><b>Q1. Concerning pest reports that you RECEIVE, please indicate the frequency with which they contain the following information :</b></p>	<p>For each piece of information described below, please select either</p> <p><b>1 – All the time</b>  <b>2 – Most of the time</b>  <b>3 – Sometimes</b>  <b>4 – Never</b></p> <p><input type="checkbox"/> Identity of the pest with scientific name (species level, below species level, etc)</p> <p><input type="checkbox"/> Date of the report</p> <p><input type="checkbox"/> Date of the outbreak of a pest</p> <p><input type="checkbox"/> Host(s) or regulated article(s) concerned</p> <p><input type="checkbox"/> Status of the pest as per ISPM8</p> <p><input type="checkbox"/> Geographical distribution of the pest (including a map if appropriate)</p> <p><input type="checkbox"/> The nature of the immediate or potential danger, or other reason for reporting</p> <p><input type="checkbox"/> Other (please describe):</p>
<p><b>Q2. Upon a general request by a trading partner, does your NPPO provide a list that contains: (please select only those that apply)</b></p>	<p><input type="checkbox"/> Quarantine pests</p> <p><input type="checkbox"/> Regulated non quarantine pests</p> <p><input type="checkbox"/> Pests present but not submitted to official control</p> <p><input type="checkbox"/> Pests present (on specific hosts or commodities)</p> <p><input type="checkbox"/> Other:</p> <p><input type="checkbox"/> none of the above</p> <p><input type="checkbox"/> not applicable</p>
<p><b>Q3. In general, most NPPOs that my country trades with have readily</b></p>	<p><input type="checkbox"/> Fully agree</p>

<p><b>provided their lists of regulated pests.</b></p> <p><b>Please select the most appropriate choice that best describes your agreement with this statement:</b></p>	<p><input type="checkbox"/> Mostly agree</p> <p><input type="checkbox"/> Doubtful</p> <p><input type="checkbox"/> Disagree</p> <p><input type="checkbox"/> not applicable</p>
<p><b>Q4. In general, when your NPP0 receives a list of regulated pests from a trading partner, do these lists include the following information: Please select the frequency (1 = Never 2 = Sometimes, 3 = Often, 4=Always 5 = No data 6 = Not applicable)</b></p>	<p>Name of pest – scientific name of the pest at the taxonomic level which has been justified by PRA</p> <p>1[ <input type="checkbox"/> ] 2[ <input type="checkbox"/> ] 3[ <input type="checkbox"/> ] 4[ <input type="checkbox"/> ] 5[ <input type="checkbox"/> ] 6[ <input type="checkbox"/> ]</p> <p>Name of pest also includes the scientific authority (where appropriate) and is complemented by a common name for the relevant taxonomic group (e.g. insect, mollusk, virus, fungus, nematode, etc.)</p> <p>1[ <input type="checkbox"/> ] 2[ <input type="checkbox"/> ] 3[ <input type="checkbox"/> ] 4[ <input type="checkbox"/> ] 5[ <input type="checkbox"/> ] 6[ <input type="checkbox"/> ]</p> <p>Categories of regulated pests (Quarantine pest, not present; quarantine pest, present but not widely distributed and under official control; or regulated non quarantine pest</p> <p>1[ <input type="checkbox"/> ] 2[ <input type="checkbox"/> ] 3[ <input type="checkbox"/> ] 4[ <input type="checkbox"/> ] 5[ <input type="checkbox"/> ] 6[ <input type="checkbox"/> ]</p> <p>Associated with regulated article(s) – host commodities or other article that are specified as regulated for the listed pest(s)</p> <p>1[ <input type="checkbox"/> ] 2[ <input type="checkbox"/> ] 3[ <input type="checkbox"/> ] 4[ <input type="checkbox"/> ] 5[ <input type="checkbox"/> ] 6[ <input type="checkbox"/> ]</p>
<p><b>Q5. In general, when your NPP0 receives a list of regulated pests from a trading partner, how often has the following supplementary information been included? Please select the frequency (1 = Never, 2=Sometimes, 3= Often, 4=Always, 5=Not applicable)</b></p>	<ul style="list-style-type: none"> <li>Synonyms <p>1[ <input type="checkbox"/> ] 2[ <input type="checkbox"/> ] 3[ <input type="checkbox"/> ] 4[ <input type="checkbox"/> ] 5[ <input type="checkbox"/> ]</p> </li> <li>Reference to pertinent legislation, regulations, or requirements <p>1[ <input type="checkbox"/> ] 2[ <input type="checkbox"/> ] 3[ <input type="checkbox"/> ] 4[ <input type="checkbox"/> ] 5[ <input type="checkbox"/> ]</p> </li> <li>Reference to a pest data sheet or a PRA</li> </ul>

	<p>1[ ] 2[ ] 3[ ] 4[ ] 5[ ]</p> <ul style="list-style-type: none"> <li>Reference to provisional or emergency measures</li> </ul> <p>1[ ] 2[ ] 3[ ] 4[ ] 5[ ]</p>
<p><b>Q6. In the case where codes are used for information contained in a list of regulated pests received from a trading partner, does that trading partner make available appropriate information to interpret the codes used?</b></p>	<p>[ ] YES</p> <p>[ ] NO</p> <p>[ ] NOT APPLICABLE</p>
<p><b>Q7. When your NPPO requests a list of regulated pests from a contracting party, from which of the following sources listed does your NPPO most frequently receive this information? (select all that apply)</b></p>	<p>[ ] NPPO Contact Point</p> <p>[ ] Other colleagues within the NPPO</p> <p>[ ] A Government entity other than the NPPO's host Ministry</p> <p>[ ] A trader</p> <p>[ ] Research Institute</p> <p>[ ] Other source - please list:</p> <p>[ ] not applicable</p>
<p><b>Q8. In general, are the pest reports your NPPO RECEIVES communicated to you <i>mostly</i> through: (Choose any that apply)</b></p>	<p>[ ] Electronic means (internet – email)</p> <p>[ ] Direct Communication (telephone/fax, face-to-face)</p> <p>[ ] Through an openly available official national website</p> <p>[ ] The International Phytosanitary Portal (IPP)</p> <p>[ ] RPPOs</p> <p>[ ] Bilaterally agreed</p> <p>[ ] Other (please describe):</p> <p>[ ] not applicable</p>
<p><b>Q9. In general, are the pest reports your NPPO PROVIDES to relevant countries communicated <i>mostly</i> through: (Choose any that apply)</b></p>	<p>[ ] Electronic means (internet – email)</p> <p>[ ] Direct Communication (telephone, face-to-face)</p> <p>[ ] Through an openly available official national</p>



	website  <input type="checkbox"/> The International Phytosanitary Portal (IPP)  <input type="checkbox"/> RPPOs  <input type="checkbox"/> Bilaterally agreed  <input type="checkbox"/> Other (please describe):  <input type="checkbox"/> not applicable
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## DOCUMENTED PROCEDURES

<b>Q1a. Does your NPPO have a computerized system for storing and retrieving <i>pest reports</i>?</b>	<input type="checkbox"/> YES  <input type="checkbox"/> NO
<b>Q1b. Does your NPPO have a computerized system for storing and retrieving <i>lists of regulated pests</i>?</b>	<input type="checkbox"/> YES  <input type="checkbox"/> NO
<b>Q2. Does your NPPO have a national standard or set of guidelines or Operational Manual for <i>listing regulated pests</i>?</b>	<input type="checkbox"/> YES  <input type="checkbox"/> NO  <input type="checkbox"/> not applicable
<b>Q3. Does your NPPO have a national standard or set of guidelines for <i>pest reporting</i>?</b>	<input type="checkbox"/> YES  <input type="checkbox"/> NO  <input type="checkbox"/> not applicable
<b>Q4. Does your NPPO have procedures to review its performance in regard to the management of <i>lists of regulated pests</i>?</b>	<input type="checkbox"/> YES  <input type="checkbox"/> NO  <input type="checkbox"/> not applicable
<b>Q5a. Does your NPPO have arrangements in place to collect, analyze and verify pest reports in your country?</b>	<input type="checkbox"/> YES  <input type="checkbox"/> NO  <input type="checkbox"/> not applicable

<b>Q5b. Does your NPPO have arrangements in place to collect, analyze and verify pest reports from other contracting parties?</b>	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> not applicable
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## POLICY AND LEGISLATIVE

<b>Q1. Does your national legislation mandate your NPPO to:</b>	<input type="checkbox"/> report Pests <input type="checkbox"/> prepare a list of regulated pests <input type="checkbox"/> none of the above
<b>Q2. Does your legislation have provisions to require your NPPO to report on the outbreak and/or occurrence of new pests?</b>	<input type="checkbox"/> YES <input type="checkbox"/> NO
<b>Q3. Which of the following are in place in your country to encourage stakeholders to report on pests?</b>	<input type="checkbox"/> Publicity Campaigns <input type="checkbox"/> Community Outreach (e.g. extension service, professional associations) <input type="checkbox"/> Enforcement/Legislative Actions <input type="checkbox"/> Rewards <input type="checkbox"/> Fees and Penalties <input type="checkbox"/> Other (please describe): <input type="checkbox"/> not applicable
<b>Q4. At the national level, where does your NPPO publish its list of regulated pests?</b>	<input type="checkbox"/> Main Act concerning Plant Health /Protection /Quarantine <input type="checkbox"/> Regulations <input type="checkbox"/> Decisions <input type="checkbox"/> Orders <input type="checkbox"/> Decrees <input type="checkbox"/> Official Gazette <input type="checkbox"/> Other legal instruments

	<input type="checkbox"/> Elsewhere – please describe: <input type="checkbox"/> not applicable
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## OPERATIONAL ELEMENTS

<b>Q1. Has your NPPO experienced the situation where non-official pest reports have been treated as official by your trading partners?</b>	<input type="checkbox"/> YES <input type="checkbox"/> NO
<b>Q2. Has your NPPO established agreements, either on a regional or bilateral basis, for reporting regulated pests?</b>	<input type="checkbox"/> YES <input type="checkbox"/> NO

## OPEN-ENDED FEEDBACK

<b>Q1. What has been your NPPOs experience with pest reporting in terms of either helping or hindering trade? Please describe any positive or negative experiences:</b>	
<b>Q2. When one of your trading partners is unable to provide a list of regulated pests, what would your NPPO do to facilitate trade?</b>	
<b>Q3. It is an obligation under the IPPC to make the list of regulated pests available to the IPPC Secretariat. If your country is not meeting this obligation, please list the technical and other factors behind this:</b>	
<b>Q4. List any other constraints that limit your country from publishing a <u>list of regulated pests</u>:</b>	

Q5. What capacity development activities would be most beneficial to help you meet these obligations?	
Q6. It is an obligation under the IPPC to make reports on regulated pests available on the IPP. Please list both technical and other factors that prevent your NPPPO from fulfilling this obligation:	
Q7. List any other constraints that limit your country from making <u>pest reports</u> :	
Q8. What capacity development activities would be most beneficial to help you meet these obligations?	
Q9. Please list a maximum of three things your NPPPO would like to see improved in ISPM17 - Pest Reporting:	
Q10. Please list a maximum of three things you would like to see improved in ISPM19 - Guidelines on lists of regulated pests.	
Q11. Has completing this survey helped you and your NPPPO?	
Q12. Please describe the consultative process used to provide answers to the questions in this survey:	

*Thank you for your participation in completing the survey. We will update you when the results of this review have been finalized and posted on the IPPC's IRSS webpage: <http://irss.ippc.int/>*